

1 **ASSESSING THE EFFECTS OF A MIXED-MODE DESIGN IN A**
2 **LONGITUDINAL HOUSEHOLD TRAVEL SURVEY**

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1 **ABSTRACT**

2 The German Mobility Panel (MOP) is a national household travel survey that has been collecting
3 data on the travel behavior of the German population since 1994. One of the MOP's central
4 assets is the ability to provide time series data on travel behavior. Thus, the comparability of
5 survey results from different years is a mayor objective on the survey method used. Within the
6 last decade declining survey participation rates amongst different socio-economic groups
7 resulted in the need to implement a mixed-mode design of the MOP in 2013, both for the
8 sampling stage (landline and mobile phone recruitment) and in the data collection stage (paper
9 and web). We analyze whether the adaptations in survey modes do affect the survey results and
10 if so why. Ideally, we have increased the representativeness of the MOP. However, measurement
11 biases because of the mixed-mode design are also conceivable. For the decomposition of survey
12 mode effects we applied the propensity score weighting method. This method aims to impute the
13 hypothetic responses participants would have given under different survey modes; disparities
14 between actual responses and potential outcomes under another mode are traced back to the
15 mixed-mode design. Our analyses indicate that trip rate biases on shopping and leisure trips and
16 on short trips are partly caused by the mixed-mode design; quantities in time spent in the
17 transportation system and trips made by car and public transportation and commuting trips are
18 barely biased.
19

1 INTRODUCTION

2 Within the last decade many repeated and longitudinal travel surveys have been facing declining
3 participation rates (2; 1). Declining participation rates diminish the coverage and
4 representativeness of a survey, because rates decrease not equally amongst all socio-
5 demographic groups, but certain populations groups are particularly affected. Furthermore, they
6 result in higher survey costs, because it is necessary to contact more potential survey participants
7 than before to ensure comparable sample sizes. As a consequence, different approaches to
8 improve coverage are usually introduced to compensate these problems, e.g. alternative and
9 additional recruitment procedures and other channels for participants to report (3).

10 The German Mobility Panel (MOP) is a longitudinal national household travel survey
11 (NHTS) that has been collecting data on the travel behavior of the German population since
12 1994. Every year about 2,000-2,400 individuals fill in a trip diary for one week. One of the
13 MOP's central assets is the ability to provide time series data on travel behavior. To ensure the
14 comparability of survey results from different survey years, survey design adaptations should be
15 avoided. Within the last decade we have observed declining participation rates amongst different
16 socio-economic groups, particularly young adults. Despite concerns about survey comparability,
17 we adapted the survey design of the MOP in 2013 in the sampling design stage and in the data
18 collection stage in order to improve the representativeness and coverage of the survey. Hence, it
19 can be regarded as crucial that any adaptations to the survey modes may affect the outcome in
20 terms of the reported quantities of travel. This paper deals with the question whether the survey
21 mode adaptations made in the MOP do affect the survey results and if so, why the adaptations do
22 have an impact on the survey results. Ideally, we increased the representativeness of the MOP by
23 reducing coverage errors and non-response errors. This outcome is named selection effect (*SE*).
24 Biases in survey results due to measurement effects (*ME*) are also conceivable. *MEs* occur when
25 respondents answer a survey question differently or fill in the trip diary in a different way solely
26 because of the mode in which the question is administered.

27 Within this study we aim to better understand of how survey mode adaptations and
28 mixed-mode designs in travel behavior surveys affect the results. Based on analyses of the
29 outcomes of different survey modes in the recruitment and the data collection stage we apply
30 propensity score estimation methods to identify and quantify the effects of the survey modes to
31 the results.

32 LITERATURE REVIEW

33 Mixing modes in a survey gives researchers the possibility to compensate the weaknesses and
34 disadvantages of each mode, but also to reduce the survey costs (4). Different mixes of data
35 collection modes are discussed in the literature, including the recruitment phase, during the
36 selection, or during the data collection phase (4). However, mixed-mode surveys have some

1 consequences and thus disadvantages in terms measurement (5). It must be assumed that all these
2 changes will affect the composition of respondents and beyond this, also the way and
3 completeness of reported travel.

4 Mixed-mode surveys have been applied in several disciplines, for example, health
5 surveys. Studies related to health highlight that different population groups are reached with
6 different modes (6; 5). This issue is for example addressed in the “Behavioral Risk Factor
7 Surveillance System”, a telephone based survey on health conditions and risks behavior in the
8 United States (8; 7). The issue of weighing is thus discussed, underlying the need to understand
9 differences between subsamples (7). In social science literature, analyses conducted with mixed-
10 mode surveys, with a paper-and-pencil (PAPI) and a web survey (CAWI) data collection mode
11 show that *MEs* are very small (10; 9).

12 Similar issues may be found for travel surveys. However, the outcome might be different
13 in travel behavior surveys, because the participants are asked to fill in trip diaries. That means
14 that the participants need to fill in the survey not at one point of time only but at certain points of
15 time, e.g. once a day. There, the survey mode might also affect the frequency to fill in the diary
16 and thus the survey quality. Several travel surveys are using mixed-mode approaches. The
17 Regional Household Travel Survey conducted in the New York City megaregion seems to be the
18 survey offering the most survey modes: they combine face-to-face (F2F) interviews with
19 telephone interviews (CATI), with PAPI, CAWI and GPS-based data-collection methods (11).
20 Research conducted on this survey highlighted that households with different socio-economic
21 characteristics have been reached by different survey modes (11). Other examples of mixed-
22 mode surveys in transportation include for example a travel survey conducted in Quebec City
23 once in 2002-2003 as a PAPI and in 2009 in a CAWI (12). The authors have highlighted higher
24 dropout rates with CAWI, especially among the participants aged 50 years and older (12). The
25 authors highlight that the sociodemographic characteristics of CAWI and CATI respondents
26 differ. However, travel quantities were similar in both groups (12). A household travel survey
27 which was conducted in 2006 in Lyon, France showed different results. In this survey,
28 households who either have not been willing to respond F2F or who were not reachable had the
29 option to answer by CAWI. The authors found that, compared to F2F respondents, CAWI
30 respondents live in bigger households, own more cars, and are better equipped in communication
31 tools (internet access and mobile phone) (13). These socio-characteristics differences underlines,
32 as assumed by the authors, differences in travel behavior: CAWI respondents are less mobile
33 than F2F respondents (13). This is explained by the authors by, at the one hand, a higher share of
34 days without trip making, but on the other hand, a lower number of trips reported due to the
35 omission (13). Christensen analyzed the effects of introducing CAWI in the Danish NHTS (14).
36 Denmark was indeed the first country to introduce CAWI in a NHTS in 2006 (14). Differences
37 in socio-economic characteristics of respondents in different survey modes were also found.
38 Christensen highlighted that the number of reported trips by CAWI respondents depend of the
39 length of the trip: short trips (< 2km) are less reported (14). However, trips between 2 and 10 km
40 seems to be better reported by CAWI than by CATI (14).

1 As different studies implement mode alterations in a different way and as various survey
2 mode adaptations are introduced concurrently, it is sometimes difficult to identify and quantify
3 the effects of the respective modes. In the literature the need for further research is addressed, i.e.
4 to include the identification and quantification of mode effects (13) in travel surveys.

5 **DATA**

6 This section gives an overview of the MOP, summarizes the survey mode adaptations made
7 since 2013 and introduces the sample of investigation of this study.

8 **The German Mobility Panel (MOP)**

9 We used the data of the MOP for our analyses. The MOP is a German NHTS which is conducted
10 every year since 1994. The survey is carried out on behalf of and funded by the German Federal
11 Ministry of Transport and Digital Infrastructure. The market research firm KANTAR TNS is
12 responsible for the field work (i.e. recruitment and data collection) and the Institute for Transport
13 Studies of the Karlsruhe Institute of Technology is in charge for the design and scientific
14 supervision of the survey (15; 16).

15 The general sample is controlled by spatial categories, household type and car ownership.
16 Thus it is guaranteed that the whole spectrum of rural areas up to inner cities is covered as well
17 as different types of households. The sample size is 1,000-1,500 households with 2,000-2,400
18 persons (aged ten years and older). Participants are asked to take part in the MOP during three
19 consecutive years. Every year a part of the households is dropped from the subsequent wave and
20 replaced with new households.

21 The MOP survey takes place in autumn every year and the survey weeks are meant not to
22 contain any school holidays or bank holidays (“everyday travel”). The participants are asked to
23 provide a complete trip diary containing information about all their trips during a whole week,
24 i.e. distances, means of transportation used, purposes and start respectively arrival times.
25 Moreover, socio-demographic information about the participants and the availability of cars,
26 bicycles and transit passes are questioned.

27

28 **Survey mode adaptations made**

29 In the prior survey design, households were contacted only by landline phone. In 2013 we
30 introduced a dual-frame sampling approach and draw two samples now, a landline sample, and a
31 mobile sample. Here, we aim to reduce the coverage error since households without landline
32 connection – especially small households with young household members – are covered in the
33 sampling frame now. Also households that do have a landline connection but are in fact only
34 mostly reachable by their mobiles are better covered in the sample now.

35 Working with two independent sampling frames requires a design weighting that adjusts
36 the different chances of being selected. This design weighting considers the overall probability of

1 a household to be included in the sample by combining the probability of being interviewed by
2 landline phone or mobile phone (17).

3 Previously, the household questionnaire and the trip diary were PAPI surveys. Since
4 2013, participants can decide whether they complete a PAPI or a CAWI survey (Figure 1,
5 concurrent mixed-mode design). The link for CAWI is imprinted on the PAPI questionnaire in
6 combination with individual log-in data. CAWI is accessible via IP address and via QR code.
7 The CAWI form is device agnostic and can be completed on PCs, laptops, tablets and
8 smartphones. Therefore, we chose a survey design of only one question at once in order to enable
9 an easy use also of mobile devices (18). However, CAWI might require more time to fill in the
10 questionnaire than PAPI. To reduce *MEs*, we have not included plausibility checks when filling
11 in the CAWI survey. Respondents have thus the same degree of freedom when filling in the
12 diary, both in PAPI and in CAWI. The aim of CAWI is a reduction non response errors and an
13 improvement of representativeness, because certain population groups might be rather willing to
14 use the CAWI instead of the PAPI diary.

The diagram shows a PAPI diary form with several sections and questions. Arrows point from external text boxes to specific parts of the form:

- External box: "An welchem Wochentag hat der Weg stattgefunden? (Mo, Di, Mi, Do, Fr, Sa, So)" points to the "1. Weg" header.
- External box: "Um wieviel Uhr haben Sie diesen Weg begonnen?" points to the "Uhrzeit" field.
- External box: "Zu welchem Ziel bzw. Zweck haben Sie diesen Weg unternommen?" points to the "Ziel / Zweck" list.
- External box: "Mit welchem Verkehrsmittel bzw. mit welchen Verkehrsmitteln sind Sie zu Ihrem Ziel gelangt? Bitte alle benutzten Verkehrsmittel angeben!" points to the "Verkehrsmittel" list.
- External box: "Um wieviel Uhr sind Sie dort angekommen?" points to the second "Uhrzeit" field.
- External box: "Schätzen Sie bitte die Entfernung dieses Weges möglichst genau" points to the "ca. _____ km" field.

At the bottom, a note says: "Nächster Weg, neue Spalte! Der Zielpunkt dieses Weges ist Ausgangspunkt des nächsten Weges!"

a) PAPI diary

The screenshot shows a CAWI diary form on a mobile device. It features the TNS Infratest logo at the top. The main question is "Zu welchem Ziel bzw. Zweck haben Sie diesen Weg unternommen?" with a sub-note "Nur eine Angabe möglich!". Below this is a list of options: Arbeitsplatz, Dienstlich/geschäftlich, Ausbildung, Besorgung/Einkauf, Freizeit, Jemanden holen/bringen, Nach Hause, and Anderes, und zwar: (with a text input field). At the bottom, there are "Zurück" and "Weiter" buttons. Footer text includes contact information and a copyright notice for 2015 by TNS Infratest.

b) CAWI diary

15 **Figure 1 Illustration of the PAPI (a) and the CAWI (b) trip diary in the MOP.**

16 **Sample for investigation**

17 For our study on survey mode effects we analyzed the survey waves 2013, 2014 and 2015. Wirtz
18 et al. (19) show that the survey report process of participants changes with repeating
19 participation in the MOP; this influences the measured travel quantities. In order to exclude that
20 effect from our analysis, our sample consists of first-year reporters only, i.e. respondents that

1 take part in the MOP for the first time. Weighting factors are not used for this analysis since this
2 study focusses on the changes in travel behavior due to survey mode adaptations and not on
3 quantities in travel behavior.

4 The size of the sample is 3,566 persons. The households of 2,341 participants were
5 recruited via landline phone and the households of 1,225 participants were recruited via mobile
6 phone. For the following analyses we divide the sample into four survey mode groups by their
7 survey modes within the recruitment stage (landline, mobile) and the data collection stage (PAPI,
8 CAWI). From the landline sample 2,054 persons filled in the PAPI trip diary (58% of the total
9 sample) and 287 persons used CAWI (8%). 1,054 persons of mobile sample (30% of the total
10 sample) completed the PAPI survey and 171 persons filled in the CAWI trip diary (5%). For the
11 following analyses we distinguish the sample by these four survey mode groups.

12 **METHODS**

13 For the analysis of a mixed-mode survey design on survey results in the MOP we utilize a
14 method from social science literature. Therefore, we define different survey effects, conduct
15 descriptive analyses on the socio-demographics of the survey participants and on the reported
16 travel behavior and introduce the propensity score weighting method used to decompose survey
17 mode effects.

18 **Definition of survey mode effects**

19 The definition of survey mode effects utilized in (9; 23; 22; 21; 20) are in the following
20 paragraphs summarized and applied to our case.

21 Let μ_t^m denote a continuous random variable for the outcome on a given question posed
22 under survey mode m of the sample t . For our case μ might be a variable conducted in the trip
23 diary, such as trips made or mileage driven per week. Because the MOP participants choose
24 between PAPI and CAWI in the data collection stage, we divide the sample into these two
25 groups. This means that μ_{paper}^{paper} is the outcome on a given question of the PAPI subsample within
26 the survey mode PAPI. Equally, μ_{web}^{web} is the outcome on a given question of the CAWI
27 subsample within the survey mode CAWI.

28 Once, the survey participants have participated either under mode PAPI or under mode
29 CAWI, we can calculate a pure total effect (TE) as

$$30 \quad TE(\mu) = \mu_{web}^{web} - \mu_{paper}^{paper}$$

31 The TE value on a target variable only indicates whether and how the mean outcomes of
32 PAPI sample and the CAWI sample differ. This analysis does not explain, why the average
33 values of the two samples vary. Thus, the TE can be broken down to a SE and to a ME as

$$34 \quad TE(\mu) = SE(\mu) + ME(\mu).$$

1 We need to define a benchmark mode. The benchmark mode is supposed to be the
2 prevalent mode of the survey. We choose PAPI as reference mode since it was used in the MOP
3 before the mode adaptations were implemented.

4 The *SE* is defined as

$$SE = \mu_{web}^{paper} - \mu_{paper}^{paper}$$

5
6 with μ_{web}^{paper} as the potential outcome members of the CAWI subsample would have given
7 if they had answered the survey under PAPI.

8 The *SE* compares the outcomes of the two different subsamples under the same
9 hypothetic mode. $SE \neq 0$ indicates that the CAWI subsample answers differently to the given
10 question than the PAPI subsample. This means for our case that the travel behavior of the PAPI
11 subsample and the CAWI subsample differs. The main reason of a different travel behavior are
12 different socio-demographic characteristics of the two subsamples.

13 The *ME* is defined as

$$ME = \mu_{web}^{web} - \mu_{web}^{paper}$$

14
15 The *ME* compares the average values of the same subsample, assuming that they would
16 have answered the same questions in different modes. The *ME* suggests how the measurement
17 bias of the focal mode differs from the measurement bias of the benchmark mode. Survey
18 collectors of mixed-mode surveys want that the same participants to provide the same answers to
19 given questions in different modes, i.e. they aim as a *ME* as small as possible. $ME=0$ indicates
20 measurement equivalence.

21 **Descriptive analyses on socio-demographics and on travel behavior**

22 To gain a better understanding of the socio-demographic characteristics and the travel behavior
23 of the sample, we conduct descriptive analyses for the four survey mode groups. Descriptive
24 analyses on travel behavior enable to examine *TEs* only.

25 **Propensity score weighting to decompose mode effects**

26 The potential survey outcomes μ_{web}^{paper} are unknown since we have not asked the participants of
27 the CAWI subsample to fill in a PAPI diary additionally to CAWI. For the following analyses
28 we use propensity score (*ps*) weighting as estimation technique for the potential outcomes of
29 μ_{web}^{paper} . The goal of this approach is to decompose the effects of a mixed-mode design on the
30 results. The potential outcome framework was primary shaped by Rubin and Rosenbaum (e. g.
31 24; 25). This concept was not initially introduced to decompose mixed-mode effects but to
32 analyze causal effects in nonrandomized studies. They define the *ps* as the conditional
33 probability of assignment to a particular treatment given a vector of unobserved covariates (25).

34 The *ps* π_{web} is the probability of a person to be selected into the focal mode CAWI. A
35 binary logistic regression is used to estimate π_{web} . Auxiliary variables for the model are
36 provided by socio-demographic information known from the survey. Hence, one needs to ensure
37 with the selection of variables that the responses to those variables are not influenced by the

1 survey modes. We thus assume that the responds to the socio-demographic variables used do are
2 not affected by survey modes since PAPI and CAWI are both self-administered modes.

3 It is assumed that the ps depend on auxiliary variables X only:

$$4 \quad \hat{\pi}_{web} = P(M = web|X)$$

5 The hat on μ indicates that the ps is an estimate. Next, the logistic regression results are
6 applied to the sample and the response sample of the PAPI mode is weighted to the CAWI mode,
7 as

$$8 \quad \mu_{web}^{paper} = \mu_{paper}^{paper} * w_{web}.$$

9 The weighting factor w_{web} is defined as

$$10 \quad w_{web} = \frac{\hat{\pi}_{web}}{1 - \hat{\pi}_{web}}$$

11
12 Once we have calculated μ_{web}^{paper} we can determine ME and SE .

13 We conduct the survey mode effect analyses separately for the sample of landline recruits
14 and of mobile recruits. This is because participants could not choose their recruitment mode but
15 they chose the data collection mode.

16 **RESULTS**

17 The methods used enable us to present descriptive results for the four survey mode groups, as
18 defined earlier. We are furthermore able to explain by the use of a ps weighting model and an
19 underlying logistic regression model why the reported travel behavior of the four survey mode
20 groups differs.

21 **Descriptive analyses – socio-demographic characteristics**

22 Descriptive analyses of socio-demographic characteristics for the four survey mode groups are
23 shown in Table 1. These findings indicate that the different mode groups vary in terms of their
24 gender, age, employment status, household income and place of residence.

1 **Table 1 Socio-demographic characteristics of the sample, grouped by survey mode groups**

	Recruitment: landline				Recruitment: mobile			
	PAPI diary		CAWI diary		PAPI diary		CAWI diary	
	Mean	StDev	Mean	StDev	Mean	StDev	Mean	StDev
Gender: male	47%	0.499	57%	0.496	51%	0.500	60%	0.492
Age group: 10-25 years	11%	0.318	13%	0.340	14%	0.343	12%	0.329
Age group: 26-35 years	6%	0.232	13%	0.332	14%	0.347	24%	0.428
Age group: 36-50 years	20%	0.402	32%	0.466	26%	0.439	36%	0.482
Age group: 51-60 years	24%	0.428	21%	0.407	22%	0.413	13%	0.342
Age group: 61-70 years	19%	0.396	15%	0.354	15%	0.359	13%	0.336
Age group: 71 years and older	19%	0.392	7%	0.255	9%	0.293	1%	0.108
Employment status: employed	51%	0.500	57%	0.496	59%	0.493	70%	0.459
Employment status: in education	11%	0.313	14%	0.347	13%	0.341	10%	0.300
Level of education: secondary school (Hauptschule)	19%	0.396	13%	0.332	22%	0.413	12%	0.322
Level of education: university-entrance diploma (Abitur)	13%	0.333	12%	0.328	14%	0.349	15%	0.360
Monthly household income: 1.500€and less	12%	0.326	8%	0.267	15%	0.362	4%	0.199
Monthly household income: 3.000€and more	47%	0.499	62%	0.486	43%	0.495	54%	0.500
Place of residence: newly-formed Germany states	22%	0.412	19%	0.394	28%	0.450	20%	0.400
Other survey participants in the household: no	34%	0.474	32%	0.469	37%	0.483	41%	0.493
Mobile phone: yes	81%	0.393	94%	0.230	89%	0.310	96%	0.185
Public transit pass: yes	18%	0.386	21%	0.410	20%	0.401	26%	0.442
Carsharing member: yes	0%	0.070	1%	0.102	1%	0.087	4%	0.199
Mobility restrictions: yes	11%	0.317	7%	0.261	9%	0.285	9%	0.284
<i>Sample size</i>	<i>2,054 (58%)</i>		<i>287 (8%)</i>		<i>1,054 (30%)</i>		<i>171 (5%)</i>	

1 CAWI respondents are more often male, younger than 50 years, went rarely to secondary
2 school, are more often part time or full time employed and their household income is rather high,
3 no matter whether their household was recruited via landline or via mobile phone. Interestingly,
4 the share of participants without other household members participating in the survey as well is
5 with 34% versus 32% higher for PAPI respondents amongst landline recruits but lower amongst
6 mobile recruits (37% vs. 41%). The mobile phone coverage is in all groups with more than 80%
7 quite high. However, we only know whether participants have a mobile phone or not but it is not
8 surveyed whether they have a smartphone, tablet or rather a simple mobile phone without internet
9 connection. The mobility tools transit pass and car ownership are more spread amongst CAWI
10 respondents within both recruitment groups. This might indicate that CAWI participants combine
11 different modes of transportation.

12 These results indicate furthermore that – unlike our expectations before we implemented
13 the survey mode adaptations – most participants in both recruitment samples prefer PAPI instead
14 of CAWI. Limited time available to fill in an CAWI survey for busy people, or limited access to
15 a computer for population groups with limited resources are reasons evoked in the literature
16 explaining a low response rate by CAWI (14).

17 **Descriptive analyses – travel behavior**

18 When describing travel behavior, the quantities trips made, distance travelled, time spent in the
19 transportation system and the number of days in the survey period with any trip making are
20 commonly used.

21 **Table 2 Quantities on travel behavior and data quality (Mean, (StDev)), grouped by**
22 **survey modes groups**

Quantities per week	Recruitment: landline		Recruitment: mobile	
	PAPI diary	CAWI diary	PAPI diary	CAWI diary
Trips made [#]	23.7 (9.4)	24.9 (10.5)	24.0 (9-1)	26.4 (11.1)
Distance travelled [km]	281.8 (280.4)	368.0 (395.4)	332.1 (329.1)	398.5 (369.7)
Time spent in the trans- portation system [min]	581.3 (329.6)	598.7 (338.0)	617.4 (352.1)	654.6 (333.4)
Days with any trip-making	6.4 (1.0)	6.3 (1.0)	6.5 (0.8)	6.6 (0.9)

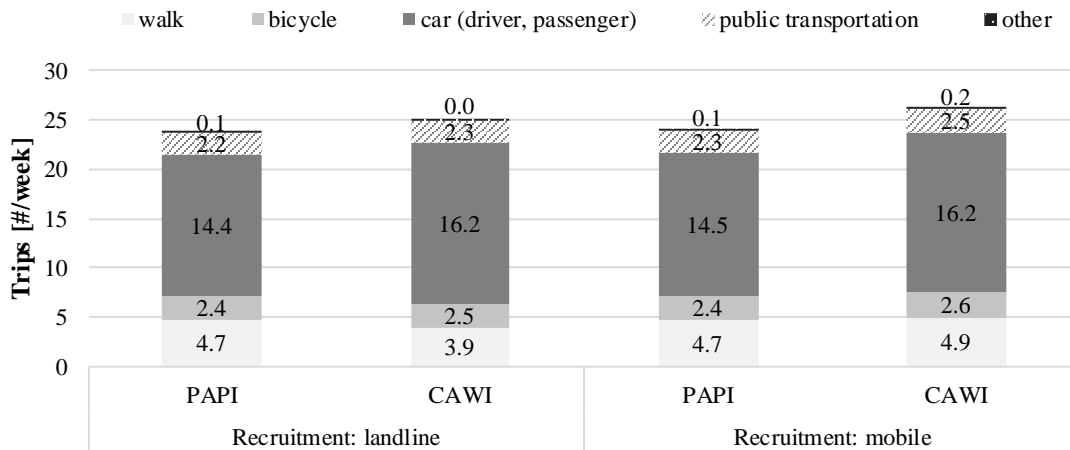
23
24 We assume that mode effects are in particular linked to the number of trips reported.
25 Survey participants might rather not report certain trips in their trip diaries within different
26 survey modes, e.g. because the trips were too short and they omitted it when filling in the diary at
27 a later time. Another explanation might be that the reporting procedure was felt too time-
28 consuming for the participants and they thus decided to summarize several short trips to one long
29 trip or they did not report the (short) trip at all. This is why we analyze the number of trips
30 reported in a greater detail. This kind of reporting behavior impacts further quantities indirectly.

1 Another reason for mode effects could be that participants fill in the trip diaries at a later point of
2 time than they would have done it using another survey mode; they can maybe not remember the
3 detailed trip characteristics, such as time of departure, time of arrival and distance travelled but
4 they report approximate information only (e.g. 11:00 a.m. instead of 11:04 a.m., 50 km instead of
5 46 km). Different levels of approximation of trip characteristics amongst different survey mode
6 groups leads to *ME* for the quantities distance travelled and time spent in the transportation
7 system.

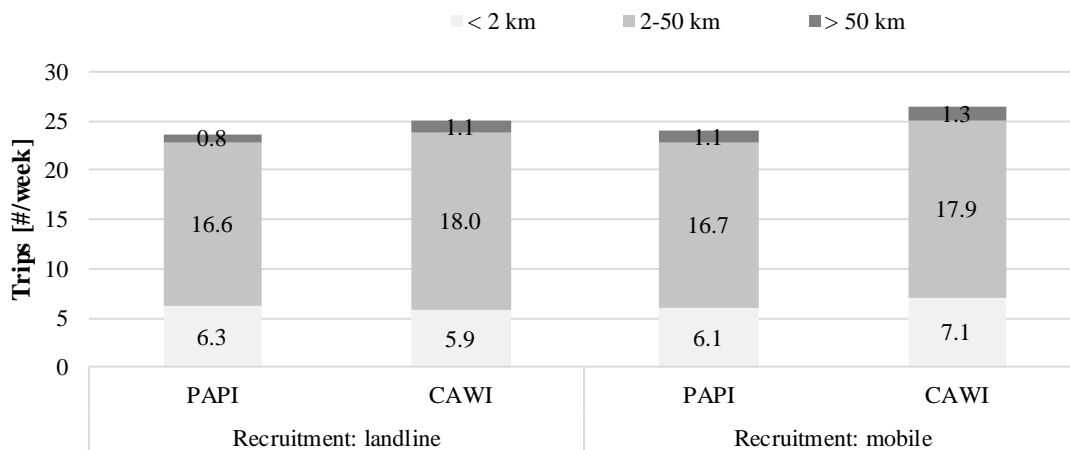
8 Our in analysis on the characteristics of the trips reported (Figure 2) shows that CAWI
9 participants report more trips, both in the landline and in the mobile recruitment sample. The trip
10 level by bicycle, public transportation and other modes is similar within the four survey mode
11 groups. However, CAWI reporters among the landline recruits report about one trip less per
12 week by walk than the other survey mode groups. Furthermore, CAWI reporters make about 1.5
13 car trips more per week than PAPI reporters. Regarding trips made in different distance classes
14 we observe that CAWI reporters amongst mobile recruits have most trips below 2 km and trips
15 above 50 km. Analyses on trip quantities for different purposes show that mobile recruits report
16 more commuting trips while the number of shopping trips is higher amongst landline recruits.

17 Our analyses indicate that the travel behavior amongst the four survey mode groups
18 varies: obviously there are differences in travel behavior to observe between the different form of
19 recruitment on the one side (mobile recruited persons seem to be more active than landline
20 recruits) whereas CAWI participants report higher quantities of travel than the PAPI
21 respondents. As shown above there is obviously the need to split up the *TE* into the *SE* and into a
22 *ME*.

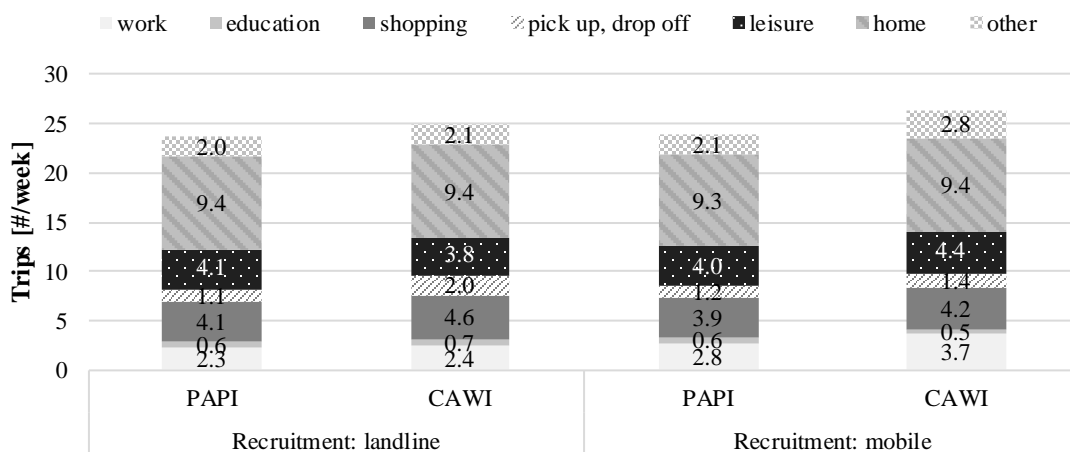
23



(a) Modes of transportation



(b) Trip distances



(c) Trip purposes

- 1 **Figure 2** Number of reported trips for the four survey mode groups, distinguished by (a)
- 2 **modes of transportation, (b) trip distances, and (c) trip purposes.**

1 **Logistic regression – estimation results**

2 For the *ps* weighting approach, it is necessary to understand in which way different socio-
3 economic and socio-demographic characteristics show influence on the probability that a person
4 will choose a certain mode for its trip diary. Therefore, binary logistic regression models have
5 been introduced in order to estimate the relative influence of the relevant characteristics that a
6 participant uses CAWI, both for the landline and for the mobile recruitment sample. Table 3
7 shows the estimates and the significance levels for the parameters included in the model. All
8 socio-economic and socio-demographic characteristics have been defined as binary variables.

9 **Table 3 Estimation Results of the Binary Logistic Regression Models. Probability that a**
10 **Survey Participant Uses CAWI**

Parameter	Recruitment: landline		Recruitment: mobile	
	Estimate	P > t	Estimate	P > t
Intercept	-3.374	.000	-2.287	.000
Gender: male	0.462	.001	0.352	.044
Age group: 10-25 years	-	-	0.764	.078
Age group: 26-35 years	1.251	.000	0.833	.001
Age group: 36-50 years	0.954	.000	0.603	.006
Age group: 51-60 years	0.414	.067	-	-
Age group: 71 years and older	-0.822	.002	-1.797	.015
Employment status: employed	-0.703	.000	-	-
Employment status: in education	-	-	-0.913	.037
Level of education: secondary school (Hauptschule)	-0.340	.083	-0.508	.056
Level of education: university-entrance diploma (Abitur)	-0.278	.163	-	-
Monthly household income: 1.500€ and less	-	-	-1.547	.000
Monthly household income: 3.000€ and more	0.473	.001	-	-
Place of residence: newly-formed Germany states	-	-	-0.398	.061
Further survey participants in the household: no	0.252	.094	0.389	.034
Mobile phone: available	1.054	.000	-	-
Public transit pass ownership: yes	-	-	0.365	.097
Carsharing member	-	-	1.280	.023
Health related restrictions of mobility: yes	-	-	0.642	.047
<i>Number of observations</i>	<i>2,341</i>		<i>1,225</i>	
<i>Log likelihood at convergence</i>	<i>1,623</i>		<i>902</i>	
<i>McFadden index</i>	<i>0.07</i>		<i>0.09</i>	

1 The regression models indicate that in both samples, men, young age groups and
2 households with higher incomes prefer the CAWI diary. In the landline sample, mobile phone
3 availability affects CAWI positively. Amongst the mobile recruits carsharing membership,
4 transit pass availability and the place of living in the so called “old” German federal states
5 (Germany in the borders until 1990) prefer CAWI. Other socio-demographic variables such as
6 car ownership, household size, the existence of infants in the household and the spatial type were
7 tested but occurred not to be significant in the regression models.

8 The McFadden index suggests that, although most of the variables used are significant at
9 least on the 10% level, the accuracy of both models is reasonably good only. However, the
10 person-related variables conducted in the MOP are no sufficient to improve the model further.
11 Therefore, the inclusion of questions regarding technology affinity, e.g. the availability of
12 smartphones and tablets, usage of mobility apps, etc. might be advantageous.

13 **Propensity score weighting**

14 The results of the *ps* weighting analysis are shown in Table 4. Some explanations concerning the
15 interpretability of the results: *TEs* greater than zero occur when the average values of the CAWI
16 sample are higher than the average values of the PAPI sample. The results of the variable
17 numbers of trips made per week (landline sample) show that the *TE* is almost completely
18 explained by the *SE*, the *ME* is negligible. This means that different survey modes in the data
19 collection stage do not impact the outcome of the travel quantities number of trips made. For the
20 quantity of distance travelled per week in the landline sample the *TE* is caused by the *ME* and the
21 *SE* in approximately the same magnitude. This means that about 50% of the effect can be
22 explained by different travel behaviors amongst the subsamples and the other 50% by different
23 modes of reporting. It may happen that the *SE* and the *ME* compensate each other partly. E.g. the
24 *TE* of the quantity number of days with any trip-making is -0.103 (landline sample). The decline
25 would be even greater with the *ME* only; the fact that the CAWI participants amongst the
26 landline recruits have a higher general level of travel quantities leads to a positive *SE* and thus to
27 a partly compensation of *ME*.

28

1 **Table 4 Resulting TE, SE and ME on travel quantities, grouped by recruitment mode**

	Recruitment: landline					Recruitment: mobile				
	<i>TE</i>	<i>SE</i>	<i>ME</i>	PAPI Mean	CAWI Mean	<i>TE</i>	<i>SE</i>	<i>ME</i>	PAPI Mean	CAWI Mean
Trips made per week [#]	1.249	1.256	-0.007	23.685	24.934	2.396	1.542	0.854	23.954	26.351
Distance traveled per week [km]	86.177	42.425	43.752	281.833	368.010	66.428	56.875	9.552	332.086	398.513
Time spent in the transportation system per week [min]	17.370	19.579	-2.209	581.285	598.655	37.166	42.227	-5.061	617.448	654.614
Days with trip making [#]	-0.103	0.067	-0.171	6.431	6.328	0.017	0.076	-0.060	6.545	6.561
<i>Trips made per week grouped by modes of transportation [#]</i>										
Walk	-0.831	-0.111	-0.720	4.726	3.895	0.250	0.315	-0.065	4.686	4.936
Bicycle	0.123	0.011	0.112	2.369	2.491	0.114	0.168	-0.055	2.448	2.561
Car (driver / passenger)	1.866	1.357	0.509	14.378	16.244	1.711	0.823	0.888	14.453	16.164
Public transportation	0.098	-0.007	0.105	2.156	2.254	0.192	0.215	-0.023	2.299	2.491
<i>Trips made per week grouped by trip distance [#]</i>										
< 2 km	-0.424	0.203	-0.627	6.292	5.868	1.053	0.408	0.645	6.082	7.135
2-50 km	1.404	0.861	0.543	16.572	17.976	1.135	0.919	0.216	16.749	17.883
>50 km	0.269	0.192	0.077	0.821	1.091	0.209	0.216	-0.007	1.124	1.333
<i>Trips made per week grouped by trip purposes [#]</i>										
Work	0.091	0.371	-0.279	2.313	2.404	0.904	0.822	0.082	2.792	3.696
Education	0.126	0.124	0.002	0.557	0.683	-0.141	-0.121	-0.021	0.603	0.462
Shopping, running errands	0.452	-0.022	0.474	4.109	4.561	0.288	-0.110	0.397	3.894	4.181
Picking someone up, dropping someone off	0.847	0.293	0.555	1.129	1.976	0.169	0.263	-0.094	1.229	1.398
Leisure	-0.661	-0.034	-0.627	5.124	4.463	0.229	0.110	0.119	4.835	5.064

1 The results from the landline recruitment sample show that differences in the travel
2 quantities trips, time spent in the transportation system and days with trip making can be mainly
3 explained by *SEs*. However, the *ME* for the kilometers travelled is higher than the *SE*. This
4 indicates the CAWI diary leads to an overestimation of trip distances compared to the PAPI
5 mode. This is maybe the case when participants fill in the trip diary only one time for the full
6 week and they cannot remember the exact distance but overestimate. We found negative *MEs* for
7 both, trips by foot and trips shorter than 2 km (these results are highly correlated, since most
8 short trips are walking trips), indicating that CAWI participants tend to report fewer short
9 walking trips as if they would have filed in the PAPI survey. The higher number of car trips
10 amongst the CAWI sample is mainly explained by the socio-demographics of that sample.
11 Different trip numbers for mandatory trip purposes, such as trips to work or education and trips
12 to pick someone up or drop someone off, are mainly explained by *SEs* whereas discretionary
13 trips, such as shopping and leisure trips, face higher *MEs*. A potential reason is that mandatory
14 trips happen one a more regular basis, e.g. once a day, the destination, departure time and mode
15 of transportation stays the same and it is thus easier to remember, even when the trip diary id
16 filled out only once for the whole survey week.

17 For the mobile recruitment sample negative *MEs* amongst all transportation modes but
18 the car indicate that CAWI respondents reported fewer trips per walk, car and public
19 transportation as they would have reported when using PAPI. However, *TEs* are still positive due
20 to the different sample in terms of socio-demographics. As a matter of fact, we find no
21 explanation why the *ME* is positive for trips shorter than 2 km. Again, *SEs* are higher than *MEs*
22 for mandatory trip purposes and the other way around for discretionary trip purposes.

23 A comparison of the outcomes of the mobile and the landline sample indicates, that
24 disparities in travel quantities are more often traced back to *SEs* in the mobile sample than in the
25 landline sample. This leads to the questions whether the CAWI participants amongst the landline
26 recruits are rather bad risks since they are not that much used to online tools than the CAWI
27 sample amongst mobile recruits.

28 **CONCLUSION**

29 Within this work, we analyzed the effects of a mixed-mode design in the MOP to the survey
30 results. Therefore, we utilized the method of *ps* weighting in order to impute hypothetic
31 responses participants would have given under other survey modes. Disparities between actual
32 responses and hypothetical responses under another mode are caused by *MEs*. Our analyses
33 indicate that differences in quantities of kilometres travelled, time spent in the transportation
34 system and trips made by car and public transportation are mainly explained by a *SE*. Different
35 trip rates on shopping and leisure trips and on short trips below 2 km are partly be traced back to
36 *MEs*. Furthermore, disparities in reporting behaviour due to different survey modes occur more
37 often amongst landline recruits.

1 Our analysis helps to identify whether differences in reported travel behavior are caused
2 by different survey modes. These findings can be utilized to adapt focal modes of a survey
3 further. For the MOP, it might be advantageous to provide an app for CAWI with automatic
4 regular reminders to fill in the diary. In this way, CAWI respondents might forget fewer short
5 trips.

6 A mayor shortcoming of our analysis is that the fits of our logistic regression models
7 underperform. Though, socio-demographic information only might not be sufficient to estimate
8 the probability of a survey participant to choose CAWI. To overcome these shortcomings and
9 thus to improve the accuracy of the *ps* weighting, we suggest to include questions regarding
10 technology affinity in the survey (e.g. the availability of smartphones and tablets, usage of
11 mobility apps).

12 Not only the survey mode itself might lead to *MEs* but also deviations in the point in time
13 and the frequency of trip diary completion. Thus, they might differ for the survey modes.
14 Recording the timestamps of survey completion in the CAWI survey might help to gain a better
15 understanding.

16 There is a broad discussion in social science literature, which statistical methods are most
17 suitable to decompose survey mode effects. We used *ps* weighing for our analyses, since it is a
18 straight and less complex method. Other approaches for statistically adjusting mode effects are
19 *ps* matching (distance measures between all pairs of individuals are estimated), double robust
20 regressions (combination of *ps* based and regression based estimations) and multiple imputation
21 (mode effects are conceptualized as missing data problems) (26; 21; 20). Further in depth
22 research may use various statistical measures to give additional insight.

23 Overall, our analyses indicate that survey mode effects need to be taken into
24 consideration when analyzing and comparing travel survey outcomes, since the mode in which a
25 travel survey is conducted might influence the outcome.

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